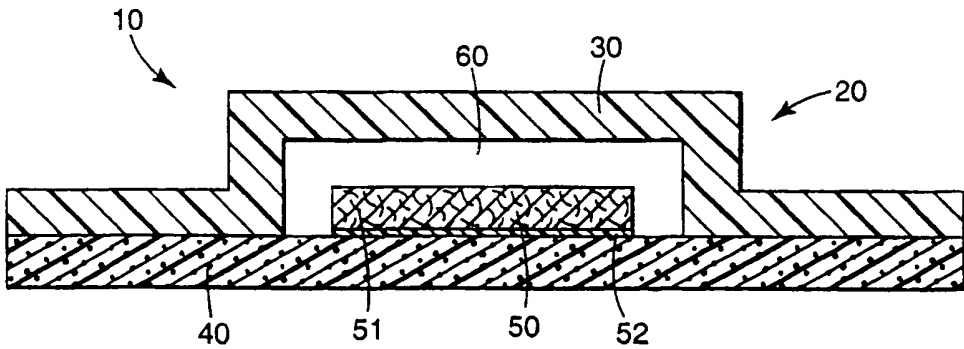




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification</b> <sup>6</sup> : <b>A61L 2/00</b>	<b>A2</b>	<b>(11) International Publication Number:</b> <b>WO 98/58683</b> <b>(43) International Publication Date:</b> 30 December 1998 (30.12.98)
<b>(21) International Application Number:</b> PCT/US98/13464 <b>(22) International Filing Date:</b> 24 June 1998 (24.06.98)  <b>(30) Priority Data:</b> 08/882,630 25 June 1997 (25.06.97) US 09/019,341 5 February 1998 (05.02.98) US  <b>(71) Applicant:</b> MINNESOTA MINING AND MANUFACTURING COMPANY [US/US]; 3M Center, P.O. Box 33427, Saint Paul, MN 55133-3427 (US).  <b>(72) Inventors:</b> IGNACIO, Ramon, T.; P.O. Box 33427, Saint Paul, MN 55133-3427 (US). PIECHOWSKI, Allan, P.; P.O. Box 33427, Saint Paul, MN 55133-3427 (US).  <b>(74) Agents:</b> HOHENSHELL, Jeffrey, J. et al.; Minnesota Mining and Manufacturing Company, Office of Intellectual Property Counsel, P.O. Box 33427, Saint Paul, MN 55133-3427 (US).		<b>(81) Designated States:</b> AU, CA, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i>
<b>(54) Title:</b> STERILIZATION MONITORS   <p>The diagram shows a cross-section of a sterilization monitor assembly. A substrate (10) is shown at the top. Below it is a monitor composition (20) which contains a colorant (30) and a halogen source (60). The monitor composition is enclosed in a housing (40) with a vapor permeable barrier (50). The housing is further enclosed in a substrate (51) with a vapor permeable barrier (52).</p>		
<b>(57) Abstract</b> <p>A sterilization monitor includes a substrate and a monitor composition. The monitor composition contains a colorant and a halogen source and undergoes a distinct color change when exposed to a peracid. The sterilization monitor can be used to monitor a sterilization process involving a peracid. A sterilization monitoring device, including a sterilization monitor enclosed in a housing having a vapor permeable barrier, can also be used to monitor a sterilization process.</p>		

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## STERILIZATION MONITORS

This invention relates to sterilization monitors.

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Background

Medical instruments and parenteral drugs are sterilized prior to use. A traditional sterilization process uses steam under pressure. Alternative sterilization processes use ethylene oxide, hydrogen peroxide, or peracetic acid in the vapor form as the sterilant.

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Sterilization processes using peracid solutions may be performed in a sterilization chamber. During a typical sterilization cycle, the instruments being sterilized are exposed to a sterilization solution containing, for example about 2000 ppm or 2500 ppm of peracetic acid. The instruments are exposed to the solution for a sufficient time at a sufficiently high enough temperature, e.g., 50°C - 60°C, for the sterilization to be effective.

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Summary of the Invention

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The invention features monitoring a sterilization process that uses a vapor including a peracid (e.g., peracetic acid) with a monitor composition. The monitor composition contains a colorant and a halogen source. During the sterilization process, the peracid contacts the monitor composition, resulting in halogenation of the colorant to occur. Halogenation of the colorant causes the colorant and the monitor composition to undergo a distinct, permanent color change that provides an indication that sterilization has occurred. A distinct color change in the indicator composition occurs if normal medical professionals can readily discern the color change through visual observation.

25

Preferred colorants include dyes such as the sodium salt of fluorescein or phenol red that is susceptible to halogenation.

30

The invention also features sterilization indicators including a substrate and the indicator composition, as well as the indicator composition itself. The indicator composition can be used, for example, on indicating labels, or indicator tapes, and in devices that monitor the variables of a sterilization process (e.g., time, temperature, and concentration).

In another aspect, the invention features a monitor composition for monitoring a sterilization process including peracid, e.g., peracetic acid. The monitoring composition contains a colorant and a halogen source. When the monitoring composition is exposed to the peracid during a sterilization process the peracid causes halogenation of the colorant, which causes the monitoring composition to undergo a color change. The halogenated colorant may in turn be susceptible to additional reactions which cause the monitoring composition to undergo a further distinct color change, dependent upon, e.g., the concentration of the peracid in the solution. The colorant may be a dye such as phenol red.

The invention also features a method of monitoring peracid liquid phase sterilization processes. The monitor composition includes a colorant and is exposed to a solution including peracid during a sterilization process. The monitoring composition will change to a particular color if the sterilization process meets certain pre-determined sterilization parameters, such as exposure time, exposure temperature, and exposure concentration of peracid (e.g., 1 minute, 25°C, and 1000 ppm of peracid).

The invention also features a method of determining whether a solution including peracid has a concentration of peracetic acid of about 2500 ppm. The monitor composition is exposed to the peracetic acid solution under conditions that will cause the monitor composition to undergo a color change at about 2500 ppm of peracetic acid.

The invention also features a method of monitoring a sterilization process that uses a liquid peracid sterilant by contacting a sterilization monitoring device with a liquid peracid from a sterilization solution during the sterilization process. The sterilization monitoring device includes a vapor permeable barrier and a monitor composition. The peracid vapor from the liquid sterilant penetrates the vapor barrier contacting the monitor composition including a colorant susceptible to halogenation and a halogen source, and the peracid contacts the halogen source to produce halogen which halogenates the colorant to cause a color change in the composition.

The invention also features a sterilization monitoring device including a housing having a vapor permeable barrier and a monitor composition enclosed within the housing. The monitor composition includes a halogen source and a colorant susceptible to halogenation in the presence of a peracid from a sterilization solution.

The peracid contacts the halogen source to produce halogen which halogenates the colorant to cause a color change in the composition.

The invention also features a sterilization monitoring device including a substrate, having a laminated side, carrying a monitoring composition, and a housing having a vapor permeable barrier. Preferably, the housing also includes a vapor impermeable barrier. The vapor impermeable barrier defines a vapor head space. The substrate is enclosed within the housing with the laminated side mounted to the vapor permeable barrier.

Other features and advantages of the invention will be apparent from the description of the preferred embodiment thereof, and from the claims.

#### Brief Description of the Drawings

The figure is a cross-sectional view of a sterilization monitoring device.

#### Detailed Description

Sterilization monitors and sterilization monitoring devices are used to monitor sterilization processes that include the use of a peracid. The sterilization monitors and monitoring devices also can be used to determine the concentration of a peracid present during the sterilization process.

The peracid may be any of the conventional peracids known to be useful as sterilants. The peracids may contain, for example, between one and eight carbon atoms, may be saturated or unsaturated, may be halogenated, and may be aliphatic, aromatic, or non-aromatic. Examples include performic acid, peracetic acid, perpropionic acid, perbutanoic acid, etc.

#### Examples of Sterilization Monitors for Vapor Phase Sterilization Processes

A preferred sterilization monitor for vapor phase sterilization processes includes a monitor composition and a substrate.

The monitor composition undergoes a distinct color change when exposed to peracid vapor. For example, the monitor composition, carried on the substrate, may exhibit the distinct color change within a certain period of time (e.g., 5 minutes, 15 minutes, or 2 hours) of exposure to an atmosphere containing 5% peracetic acid at room temperature. The color of the monitor composition (prior to or after exposure to

peracetic acid) preferably does not change or fade if left exposed to normal fluorescent lights at a distance of three inches for one or two days

A preferred monitor composition contains a dye, a halogen source, and a binder resin.

5           The dye is susceptible to halogenation in the presence of a halogen source and a peracid, and changes color as a result of the halogenation to provide a distinct color change in the monitor composition. Once halogenation is complete the color of the dye does not change if left exposed to normal air. As a result, assuming the dye is the only colorant in the monitor composition, once halogenation of the dye is complete  
10           the color of the monitor composition is essentially fixed. Examples of such dyes include the sodium salt of fluorescein (acid yellow 73) and phenol red. A monitor composition containing fluorescein (acid yellow 73), for instance, in the presence of a bromine source and a peracid, will turn from yellow to orange, and in the presence of an iodine source will turn from yellow to red.

15           A sufficient quantity of the dye should be included in the monitor composition to provide the desired color intensity. The quantity of the dye in the composition also will influence the rate at which the composition undergoes the distinct color change. The indicator composition may contain, for example, between 0.5% and 10%, or between 1% and 5%, of the dye by weight.

20           The halogen source can be, for example, a halogen salt, such as alkaline earth metal halide salts (e.g., magnesium bromide or magnesium iodide) or alkali metal halide salts (e.g., potassium bromide). A sufficient quantity of the halogen source should be included in the monitor composition to react with a sufficient quantity of the dye to cause the color change at the desired rate. The monitor composition may contain, for  
25           example, between 1% and 60%, or 5% and 45%, of the bromine source by weight.

30           The binder resin binds the composition to the substrate. Examples of binder resins include shellac, ethyl cellulose, hydroxypropyl methylcellulose, methyl cellulose, and ethyl hydroxyethyl ethylcellulose. The shellac can be, for example, bleached bone dry shellac. A sufficient quantity of binder resin should be included in the composition to provide adequate binding of the composition to the substrate. The binder resin also may influence the rate at which peracid penetrates into the composition during the sterilization process. The rate of peracid penetration, in turn, may influence the rate of

color change of the composition. The monitor composition may contain, for example, between 20% and 98%, or between 40% and 70%, of the resin binder by weight.

The monitor composition optionally may include other ingredients such as colorants that do not change color during the sterilization process, resins that perform functions other than binding (e.g., providing water resistance or solvent dispersibility), or opacifying agents.

Prior to application to the substrate, the monitor composition is dissolved/dispersed in a suitable solvent (e.g., water or a lower-alkyl (C1-C4) alcohol like ethanol or isopropyl). Generally, anywhere from one to two parts of solvent to one part of the indicator composition may be used.

The substrate may be, for example, blotter paper, which typically has a neutral pH, polyester (e.g., Melinex Polyester film or crepe paper). The substrate may be in the form of a strips, having the indicator composition at one end; the other end can serve as a grip for the user. When the substrate is an absorbent material such as blotter paper, the grip portion of the strip may be laminated with a plastic outer surface to minimize the absorption of peracid or other sterilization components by the grip during the sterilization process.

The substrate may also have an adhesive on the bottom surface that allows the sterilization monitor to be used as a label or as tape. An example of a suitable polyester label is Copycode WH®, a white polyester with a printable topcoat manufactured by the Fasson Film Division of Avery-Dennison Co. A suitable masking tape includes crepe paper from Endura Products on an upper surface to carry the monitor composition.

The monitor composition may be applied to the substrate by any suitable technique. For example, the indicator composition may be applied to the substrate using dip coating or conventional printing techniques such as flexographic printing, extrusion printing, or gravure printing.

Examples 1 and 2 are examples of vapor phase sterilization monitors.

#### Example 1

A monitor composition (in solvent) was prepared that contained the following ingredients:

	<u>Ingredient</u>	<u>Quantity</u>	<u>Supplier</u>
	Acid Yellow 073	3 Grams	Spectra Dyes
	Isopropyl Alcohol	50 Grams	---
5	Shellac Bleached Bone Dry (V-117)	50 Grams	Zehrun Corp
	Ethanol	50 Grams	---
	Magnesium bromide	30 Grams	Aldrich

10 The composition was prepared according to the following procedure. The shellac bleached bone dry is weighed into a 500 ml disposable plastic beaker. The ethyl alcohol is weighed into a separate 500 ml disposable plastic beaker. The beaker containing ethyl alcohol is placed under a disperser. While the disperser runs at a slow speed, the shellac bleached bone dry is added slowly to the beaker. Stirring continues until the resin has completely dissolved.

15 The isopropyl alcohol is weighed into a 100 ml beaker, and transferred to a #00 mill jar. The sodium salt of fluorescein (acid yellow 73) is weighed into an aluminum pan, and also transferred to the #00 mill jar. In addition, the magnesium bromide is weighed into a 100 ml disposable beaker, and transferred to #00 mill jar. The ethanol solution then also is transferred to #00 mill jar and the mixture is ground for four  
20 hours to provide the indicator composition (in solution).

Swatches are made with indicator composition on blotter paper using an Acculab Jr. drawdown machine with #0 rod. A strip (approximately 3" x 1/2") was cut from the blotter paper, and hung inside a bottle containing 5% peracetic acid and 22% hydrogen peroxide. The monitor composition initially is yellow, but the color changes  
25 to red within 10 minutes as the peracetic acid contacts the indicator composition and causes the bromination of the acid yellow 73.

### Example 2

30 A monitor composition (in solvent) containing phenol red was prepared that contained the following ingredients:

<u>Ingredient</u>	<u>Quantity</u>	<u>Supplier</u>
Ethyl Alcohol	1000.00 grams (54.34%)	---
Phenol Red	20.00 grams (1.09%)	Aldrich



Magnesium Bromide	200.00 grams (10.87%)	---
V-129*	600.00 grams (32.61%)	---
Shellac Bleached Bone Dry (V-117)	20.00 grams ( 1.09%)	Zehrun Corp.

5

\*V-129 includes 9.3 grams of Methocel 50CPS (from Dow Chemical), 9.3 grams of Methocel 4,000 CPS (from Dow Chemical), 348.84 grams of distilled water, and 232.56 grams of ethyl alcohol.

10

The composition was prepared and tested according to the following procedure. The phenol red is weighed into a 100 ml disposable plastic beaker, and transferred to #00 mill jar. The magnesium bromide is weighed into a 250 ml disposable plastic beaker, and also transferred to the #00 mill jar. The V-129 is weighed into a in 1000 ml disposable plastic beaker, and transferred to the #00 mill jar. In addition, the V-117 is weighed into a 100 ml disposable plastic beaker, and transferred to the #00 mill jar. Finally, the isopropyl alcohol is weighed into a 100 ml beaker, and also transferred to the #00 mill jar.

15

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The mixture is ground in the mill jar for one hour to provide the monitor composition (in solution). Swatches of the monitor composition are made on blotter paper and on Kimdura synthetic paper using the Acculab Jr. drawdown machine with #0 rod. Strips (about 3" x 1/2") are cut and hung inside the bottle containing 5% peracetic acid and 22% hydrogen peroxide.

25

The monitor composition printed on Kimdura synthetic paper initially was yellow, but it turned from yellow to blue within 20 minutes at room temperature as the peracetic acid contacted the monitor composition and caused the bromination of the phenol red. The monitor composition printed on blotter paper also initially was yellow. It turned from yellow to green in 10 minutes at room temperature as the peracetic acid contacted the monitor composition and caused the bromination of the phenol red to form bromophenol blue.

30

The sterilization monitor can be used to monitor sterilization processes that use a peracid vapor. The peracid may be any of the conventional peracids known to be useful as sterilants. The peracids may contain, for example, between one and eight carbon atoms, may be saturated or unsaturated, may be halogenated, and may be non-aromatic or aromatic. Examples include performic acid, peracetic acid, perpropionic acid, perbutanoic acid, etc.

35

The sterilization process may include, for example, exposure to an atmosphere containing at least 5% peracetic acid vapor for at least 10 minutes or 15 minutes. The sterilization process may be conducted at elevated (greater than 40°C) temperatures. The sterilization process may include use of other sterilants (e.g., hydrogen peroxide) in addition to a peracid, or may include a plasma step that may, for example, involve a peracid. Of course, the sterilization process may not include a plasma step. Sterilization processes that include use of peracids are described, for example, in U.S. Pat. No. 5,084,239 and U.S. Pat. No. 5,244,629.

#### Examples of Sterilization Monitors for Liquid Phase Sterilization Processes

A preferred sterilization monitor for liquid phase sterilization processes also includes a monitor composition and a substrate.

The monitor composition undergoes a distinct color change when exposed to peracid liquid, e.g., peracetic acid. For example, the monitoring composition, carried on the substrate, may exhibit the distinct color change within a certain period of time (e.g., 1 second, 5 minutes, 15 minutes, or 2 hours) of exposure to a solution containing at least 1000 ppm of peracetic acid at 50°C. The color of the monitoring composition (prior to or after exposure to peracetic acid) preferably does not change or fade if left in a darkened environment, e.g., a drawer. The composition will fade, however, if exposed for an extended time, e.g. a month, to a lighted environment.

A preferred monitoring composition contains a dye, a halogen source, and a buffer.

The dye is susceptible to halogenation in the presence of a halogen source and peracid, and changes color as a result of the halogenation to provide an indication that peracid is present. Once halogenated, depending on the dye, peracid concentration, exposure temperature and exposure time, other reactions may take place which cause the halogenated dye to undergo a distinct color change that provides an indication of the concentration of the peracid in solution. For example, the halogenated dye may undergo further halogenation, or may undergo other chemical reactions, or may be pH sensitive.

Once halogenation and subsequent reactions are complete the color of the dye does not change if left exposed to normal air. As a result, assuming the dye is the only colorant in the monitoring composition, once halogenation and subsequent

reactions of the dye are complete the color of the indicator composition is essentially fixed.

Examples of dyes that may be used include the salt or free acid of phenol red, cresol red, fluorescein, chlorophenol red, m-cresol purple, pyrogallol red, crystal violet  
5 lactone, 3,4,5,6-tetrabromophenol sulfone phthalein, 3',3'',5',5''-tetraiodophenol phthalein, 4,5,6,7-tetrachlorofluorescein, basic fuchsin, phenolphthalein, xylene cyanole FF, as well as other triphenylmethyl type dyes, fluorescein type dyes, and phenolphthalein type dyes. Other dyes include resorufin, indigo carmine, thionin  
10 variamine blue, indophenol, neutral red, pararosaniline acetate, eriochrome black, malachite green oxalate, indigo, and bromocresol green.

A monitoring composition containing a bromine source and phenol red, for instance, in the presence of a solution containing 2000 ppm peracetic acid, will turn from red to blue. In the presence of a solution containing 5000 ppm peracetic acid, the monitoring composition will turn from red to yellow/lime green.

15 A sufficient quantity of the dye should be included in the monitoring composition to provide the desired color intensity. The quantity of the dye in the composition also will influence the rate at which the composition undergoes the distinct color change. The indicator composition may contain, for example, between 0.0001% and 10%, or between 0.01% and 5.0%, of the dye by weight.

20 The halogen source is preferably bromide and can be, for example, a halogen salt, such as alkaline earth metal halide salts (e.g., calcium bromide and magnesium bromide) or alkali metal halide salts (e.g., lithium bromide and potassium bromide). The halogen source can also be iodide (e.g., lithium iodide, calcium iodide, and magnesium iodide) or an ionic organic halide (e.g., tetra alkyl ammonium bromide or tetra alkyl  
25 ammonium iodide). A sufficient quantity of the halogen source should be included in the monitoring composition to react with a sufficient quantity of the dye to cause the color change at the desired rate. The monitoring composition may contain, for example, between 0.1% and 50%, or 10% and 30%, of the bromine source by weight.

30 The buffer component of the monitoring composition provides a more definite color change due to dye halogenation both by controlling the pH of the monitoring composition and by making the monitoring composition less sensitive to pH. The buffer, however, may not be necessary for the halogenation of the dye to occur and in some monitoring compositions, the buffer may not be a necessary component of the

monitoring composition. One skilled in the art could determine, depending on the dye, if the monitoring composition should include a buffer component.

The buffer, if used, is preferably sodium acetate. Other buffers, e.g., phosphates, citrates and the like, which are known to those skilled in the art can be used.

5 The monitor composition can contain 0.0% to 80% sodium acetate by weight. Preferably, the monitor composition when dissolved in a suitable solvent, e.g., water, contains enough sodium acetate to produce a one molar solution (8.2 grams in 100 grams of water).

10 The monitor composition optionally may include other ingredients such as colorants that do not change color during the sterilization process, resins that perform several functions (e.g., binding, providing water resistance or solvent dispersibility), or other common ink components and opacifying agents.

15 The components of the peracid monitor composition can be adjusted, e.g., increasing or decreasing their concentration in the composition, to monitor a peracetic acid liquid phase sterilization process to determine whether the sterilization process meets pre-determined parameters, e.g., exposure temperature, exposure peracid concentration, and exposure time. The pre-determined parameters include an exposure temperature between 0°C and 100°C, an exposure peracid concentration between 100 ppm and 10000 ppm, and an exposure time between 1 second and 30 minutes.

20 Preferably, the exposure temperature is between 20°C and 60°C, the exposure peracetic acid concentration is between 1000 ppm and 5000 ppm, and the exposure time is between 1 second and 15 minutes. For example, the concentration of dye in the monitoring composition can be decreased to monitor a sterilization process with a decreased exposure time.

25 Prior to application to the substrate, the monitor composition is dissolved/dispersed in a suitable solvent (e.g., water). Generally, 10 parts of solvent to one part of the monitoring composition is used.

The substrate includes those described previously. Preferably the substrate is a filter paper, SS-410, supplied by Schleicher & Schuell located in New Hampshire.

30 The monitor composition may be applied to the substrate by conventional techniques, e.g., dip, draw down, or air knife coating, and the like.

When a monitor composition containing, for example, potassium bromide is contacted with a solution containing peracetic acid, bromine is generated. The bromine

contacts the dye causing a first color change. The halogenated dye may undergo additional reactions depending on the dye, peracetic acid concentration, exposure temperature, and exposure time, which causes the monitoring composition to undergo a second color change that, e.g., may provide an indication of the concentration of the peracetic acid present in the solution.

Example 3 is an example of a liquid phase monitor composition. Example 4 is an example of a liquid phase sterilization monitor.

### Example 3

A monitor composition (in solvent) was prepared that contained the following ingredients:

<u>Ingredient</u>	<u>Quantity</u>	<u>Supplier</u>
Phenol Red, Sodium Salt	220 mg	Aldrich
Potassium Bromide	2.0 Grams	Aldrich
Sodium Acetate	8.2 Grams	Aldrich
Distilled Water	100.0 Grams	

The monitoring solution was tested by the following procedure. 5.0 ml of the above solution was added to 5.0 ml of each of the following solutions (concentrations are approximate):

	<u>Peracetic Acid</u>	<u>Acetic Acid</u>	<u>Hydrogen Peroxide</u>
(a)	0000 ppm	(distilled water control)	
(b)	1000 ppm	1300 ppm	200 ppm
(c)	1500 ppm	2000 ppm	300 ppm
(d)	2000 ppm	2600 ppm	400 ppm
(e)	2500 ppm	3300 ppm	500 ppm
(f)	3000 ppm	4000 ppm	560 ppm
(g)	3500 ppm	4600 ppm	660 ppm
(h)	4000 ppm	5300 ppm	750 ppm
(i)	10000 ppm	13300 ppm	1900 ppm

The initial color of the monitor solution is red. After addition of the solutions listed above, the color changes as follows:

		Peracetic Acid	Color Change
5	(a)	0000 ppm	Red
	(b)	1000 ppm	Purple
	(c)	1500 ppm	Deep Blue
	(d)	2000 ppm	Deep Blue
	(e)	2500 ppm	Deep Blue
10	(f)	3000 ppm	Yellow/Lime Green
	(g)	3500 ppm	Yellow/Lime Green
	(h)	4000 ppm	Yellow/Lime Green
	(i)	10000 ppm	Yellow/Lime Green

15 The quantities of acetic acid and hydrogen peroxide reflect dilutions of the original peracetic acid solution with water. The composition worked best when the concentration of hydrogen peroxide in the solution is less than about 20% of the concentration of peracetic acid in the solution.

20 Example 4

A monitor composition (in solvent) was prepared that contained the following ingredients:

	<u>Ingredient</u>	<u>Quantity</u>	<u>Supplier</u>
	Phenol Red, Sodium Salt	110 mg	Aldrich
25	Potassium Bromide	2.0 Grams	Aldrich
	Sodium Acetate	8.2 Grams	Aldrich
	Distilled Water	100.0 Grams	

30 The composition was prepared and tested according to the following procedure. The monitoring composition is measured and mixed in a acceptable vessel, e.g., beaker. The monitor solution is coated onto SS-410 paper in the following manner. The SS-410 paper is mounted into a plastic handle and dipped into the monitor solution for 30 seconds. The SS-410 paper is removed and the excess solution is allowed to drain off of the paper. The coated SS-410 paper is then dried in an oven for

30 minutes at 60°C. The dried strips are removed and left at room temperature and with normal exposure to light for 24 hours. One coated substrate is dipped for one second into the following solutions:

	Peracetic Acid	Acetic Acid	Hydrogen Peroxide
5	(a) 0000 ppm	(distilled water control)	
	(b) 1000 ppm	1300 ppm	200 ppm
	(c) 1500 ppm	2000 ppm	300 ppm
	(d) 2000 ppm	2600 ppm	400 ppm
10	(e) 2100 ppm	2800 ppm	400 ppm
	(f) 2200 ppm	2900 ppm	400 ppm
	(g) 2300 ppm	3100 ppm	400 ppm
	(h) 2400 ppm	3200 ppm	500 ppm
	(i) 2500 ppm	3300 ppm	500 ppm
15	(j) 2600 ppm	3500 ppm	500 ppm
	(k) 2700 ppm	3600 ppm	500 ppm
	(l) 2800 ppm	3700 ppm	500 ppm
	(m) 2900 ppm	3900 ppm	500 ppm
	(n) 3000 ppm	4000 ppm	560 ppm
20	(o) 3500 ppm	4600 ppm	660 ppm
	(p) 4000 ppm	5300 ppm	750 ppm
	(q) 10000 ppm	13300 ppm	1900 ppm

Once removed, the excess solution is allowed to drain and the substrate is air dried. The color of the monitor composition on the dried substrate changes (initially) from red to deep blue at 1000 ppm of peracetic acid. The color change remains deep blue until the concentration of peracetic acid reaches about 2300 ppm at which point the color change begins to show traces of green. The color change is completely green with peracetic acid concentrations from 2600 to 2800 ppm. The color change remains green with peracetic acid concentrations greater than 2800 ppm up to at least 10,000 ppm.

The monitor compositions can be used to monitor sterilization processes that use liquid peracid solutions. The sterilization process can include, for example, exposure to a peracetic acid solution containing at least 1000 ppm (preferably 2000

ppm) peracetic acid liquid for at least 10 minutes or 15 minutes. Sterilization processes using peracetic acid solutions are described, for example, in U.S. Patent No. 4,892,706.

The sterilization process can be conducted at elevated (greater than 40°C) temperatures. The sterilant solution used in the sterilization process can include, in addition to a peracid liquid, other liquid sterilants, e.g., hydrogen peroxide.

The liquid peracid may be any of the conventional peracids known to be useful as sterilants. The peracids may contain, for example, between one and eight carbon atoms, may be saturated or unsaturated, may be halogenated, and may be non-aromatic or aromatic. Examples include performic acid, peracetic acid, perpropionic acid, perbutanoic acid, etc.

#### Examples of Sterilization Monitoring Devices

Sterilization monitors used to monitor vapor phase and liquid phase sterilization processes may be attached as a label to the item to be sterilized, used as masking tape to seal a package containing the item to be sterilized, or simply included in the sterilization chamber along with the item(s) to be sterilized. The sterilization process may involve, for example, sterilization of medical instruments (e.g., fiber optic devices, endoscopic equipment), gloves, linen, parenteral drugs, etc.

Sterilization monitors also can be included in a peracid vapor-permeable package, with or without the item(s) to be sterilized, creating a sterilization monitoring device.

A preferred sterilization monitoring device includes a housing and a monitor composition carried on a substrate.

Referring to the figure, a sterilization monitoring device 10 includes a substrate 50 containing a monitor composition 51, a housing 20 having a durable transparent top 30, e.g., MYLAR™ polyester film coated with SURLYN™, polyvinylchloride (PVC) and glycol modified polyethylene terephthalate (PETG), defining a vapor head space 60, and a microporous bottom 40, e.g., GORE-TEX™, TYVEK™ and COTRAN™. Substrate 50 includes a laminated side 52, e.g. MYLAR™ polyester film coated with SURLYN™, which is mounted, e.g., taped, to microporous bottom 40. The monitor composition includes the components described previously. Durable transparent top 30 is sealed, e.g., heat sealed or glued, to microporous bottom 40 to enclose substrate 50 within vapor head space 60



During a sterilization process, sterilization monitoring device 10 is submerged in a sterilant solution containing a peracid. The peracid vapor penetrates microporous bottom 40, enters vapor head space 60, and contacts monitor composition 51 of substrate 50 causing a color change of monitor composition 51, observable through transparent top 30.

The preferred sterilization monitoring device includes a laminated substrate chosen to inhibit peracid vapor from directly penetrating the microporous barrier and contacting the underside of the substrate. Direct penetration of the peracid vapor to the underside of the substrate may cause the monitor composition to undergo a distinct color change generally irrespective of peracid concentration, exposure time or sterilant temperature. The lamination provides a controlled color change by forcing the peracid vapor to first contact the monitor composition on the perimeter of the substrate causing a color change of the monitor composition. Then, as the peracid vapor fills the vapor head space, the peracid vapor contacts the monitor composition in the center of the substrate causing a color change of the monitor composition. The location of color change of the monitor composition is used to monitor sterilization processes. For example, if the center of the substrate does not display a color change, the sterilization process did not meet pre-determined parameters. The pre-determined parameters, described above, include exposure temperature, exposure peracid concentration, and exposure time.

The vapor head space of the sterilization monitoring device allows the peracid vapor to equilibrate and to accurately monitor peracid sterilization processes for a given set of pre-determined parameters.

The preferred sterilization monitoring device includes a PVC or PETG top, a TYVEK™ microporous bottom, and a 5/8" square piece of SS-410 filter paper laminated on one side. Preferably, the top defines a vapor head space of 3/8" to 1/8" above and to the sides of the substrate and is sealed to the tyvek microporous bottom.

Preferably the top is heat sealed to the bottom reducing the addition of further chemicals that may be added to the sterilization chamber by using a glue or epoxy. However, a properly chosen glue, e.g., Loctite 411 instant adhesive, can also be used.

A sterilization monitoring device including a monitor composition having a starting monitor composition color is immersed in a peracid solution of a sterilization

process. As peracid vapor penetrates the vapor permeable bottom and fills the vapor head space, it contacts the monitor composition causing a color change of the monitor composition. The color change of the monitor composition proceeds from the perimeter of the device inward.

5 For example, a sterilization monitoring device including a monitor composition having phenol red and potassium bromide is immersed into a peracetic acid solution of a sterilization process. The starting color of the monitor composition is red. As peracetic acid vapor penetrates the vapor permeable bottom, a first dark blue color change of the monitor composition appears on the perimeter of the substrate. As  
10 exposure to peracetic acid continues, the dark blue color change moves inward toward the center of the coated substrate followed by a second color change, yellow-green (lime), which also begins at the perimeter of the substrate and moves inward. If the pre-determined parameters of the sterilization process have been met (e.g. 12 minutes, 2000 ppm peracetic acid, 54°C), the entire monitor composition turns completely lime. If the  
15 pre-determined parameters have not been met, then a dark blue may remain in the center of the substrate surrounded by lime.

The sterilization monitoring device may also include an identification marker, e.g., a writable portion or tag, used to date and label the sterilization monitoring device, or that can be used as a record. The sterilization monitoring device may also include a  
20 monitor composition including a buffer, halogen source, and colorant which replicates and/or is substantially parallel to and/or substantially mimics the response of biological indicators, e.g., *Bacillus Stearothermophilus*, to a sterilization process.

Many of the materials used to construct the sterilization monitoring device are easily obtained. TYVEK™ 1025D is a spunbonded olefin available from the E.I. Du  
25 Pont De Nemours and Company, located in Wilmington, DE. MYLAR™ is a polyester film coated with SURLYN™, a ethylene/methacrylic acid ionomer resin, supplied from the Donahue-Corry Associates, located in Dover, MA (MYLAR™ and SURLYN™ are trademarks of E.I. Du Pont De Nemours and Company). COTRAN™ is a microporous polyethylene film available from the Minnesota Mining and Manufacturing Company,  
30 located in St. Paul, MN. GORE-TEX™ is a fabric available from W.L. Gore and associates, Inc., located in Newark, DE.

Example 5 and 6 are examples of sterilization monitoring devices.

Example 5

The same monitor composition as used in Example 3 is coated onto 5/8" square 0.01" thick pieces of SS-410 filter paper having one laminated side. The SS-410 paper is mounted into a plastic handle and dipped into the monitor solution for 30 seconds. The SS-410 paper is removed and the excess solution is allowed to drain off of the paper. The coated SS-410 paper is then dried in an oven for 30 minutes at 60°C. The dried strips are removed and left at room temperature and with normal exposure to light for 24 hours

The laminated side of the coated paper is taped, e.g., double sided, to the center of a 2" square 0.01" thick piece of TYVEK™ type 10 1025 D spunbonded olefin, available from E.I. Du Pont De Nemours and Company, located in Wilmington, DE. The substrate is enclosed within the sterilization monitoring device by the following manner. A 2" square 0.01" piece of PVC, PJN-9708623, supplied by Perfecseal located in Minnesota, having a central 1" square 3/8" deep vapor head space is glued, using Loctite 411 instant adhesive, to the TYVEK™.

Seven devices made by the procedure described above were placed in a 2 liter beaker containing variable concentrations of peracetic acid for various times and temperatures.

For 12 minutes at 54°C, submerged in 2000 ppm peracetic acid, 3 devices in tandem showed the following results:

<u>Device</u>	<u>% Change to Final Color (Lime)</u>
a	100%
b	95-100%
c	90-100%

For 12 minutes in 1500 ppm peracetic acid at 54°C, two devices showed the following results.

<u>Device</u>	<u>% Change to Final Color (Lime)</u>
d	50%
e	50-60%

For 12 minutes in 2500 ppm peracetic acid at 54°C, two devices showed the following results.

	<u>Device</u>	<u>% Change to Final Color (Lime)</u>
5	f	100%
	g	100%

10 Devices b and c were left for longer times at 2000 ppm peracetic acid and 54°C and both turned 100% lime. Exposing the devices to shorter times or lower ppm peracetic acid produce substantially less lime. Higher temperatures show more lime as does higher concentration of peracetic acid. As a result, the device may be used to monitor the effects of pre-determined parameters, e.g., peracetic acid concentration, time of exposure, and temperature of sterilant solution.

#### 15 Example 6

The same monitor composition as used in Example 3 is prepared. The pH of the monitor composition is measured as 7.5. The monitor composition having is then coated onto two pieces of SS-410 filter paper and each substrate is mounted in a sterilization monitoring device as described in Example 5.

20 A second monitor composition as used in Example 3 is prepared. The pH of the monitor composition is measured as 7.5. A 0.1 N NaOH solution is added to the composition raising the pH to 10. The monitor composition is then coated onto two pieces of SS-410 filter paper and each substrate is mounted in a sterilization monitoring device as described in Example 5.

25 At 54°C, submerged in 2000 ppm peracetic acid, one device made with a substrate having a monitor composition with a pH of 7.5, in tandem with one device made with a substrate having a monitoring composition with a pH of 10, showed the following results:

	<u>Device</u>	<u>% Change to Final Color (Lime)</u>
30	pH 7.5	100% with an 11 minute exposure
	pH 10	100% with an 12 minute exposure

35

For 12 minutes at 54°C, submerged in 2000 ppm peracetic acid, 2 other devices in tandem showed the following results:

5	<u>Device</u>	<u>% Change to Final Color (Lime)</u>
	pH 7.5	95%
	pH 10	100%

10 In other embodiments, a sterilization monitoring device includes a monitor composition and a multiple housing, i.e., one housing, as described above, enclosing another. The monitor composition is preferably a liquid, such as the monitor composition from Example 3.

Other embodiments are within the claims.

## CLAIMS:

1. A method of monitoring a sterilization process including the use of a peracid vapor, comprising

5 exposing an item to be sterilized and an indicator composition comprising a colorant susceptible to halogenation and a halogen source to a peracid vapor, wherein halogen from said halogen source halogenates said colorant to cause a distinct color change in said indicator composition.

10 2. The method of claim 1, wherein said colorant comprises a dye.

3. The method of claim 1, wherein said indicator composition is carried on a substrate.

15 4. The method of claim 1, wherein said item and said indicator composition are exposed to peracid vapor for at least 10 minutes.

20 5. The method of claim 4, wherein the concentration of peracid in said peracid vapor is at least 4%.

6. The method of claim 1, wherein the concentration of peracid in said peracid vapor is at least 4%.

25 7. The method of claim 1, wherein said peracid comprises peracetic acid.

8. The method of claim 1, wherein said colorant comprises fluorescein.

30 9. The method of claim 1, wherein said colorant comprises phenol red.

10. The method of claim 1, wherein said halogen source comprises potassium bromide.

11. The method of claim 1, wherein said halogen source comprises magnesium bromide.

12. The method of claim 1, wherein said indicator composition further  
5 comprises a resin binder.

13. The method of claim 1, wherein said peracid comprises peracetic acid, said colorant comprises fluorescein, and said halogen source comprises magnesium bromide.

14. The method of claim 13, wherein said indicator composition is  
10 carried on a substrate.

15. The method of claim 14, wherein said indicator composition further  
15 comprises a resin binder.

16. A sterilization indicator comprising a substrate and, carried on said substrate, an indicator composition comprising fluorescein and a halogen source.

17. The sterilization indicator of claim 16, wherein said halogen source  
20 comprises magnesium bromide.

18. The sterilization indicator of claim 16, wherein said indicator  
composition further comprises a resin binder.

19. The sterilization indicator of claim 16, wherein said substrate  
25 comprises paper.

20. A method of monitoring a sterilization process including the use of a  
30 peracid vapor, comprising

exposing an item to be sterilized and an indicator composition containing fluorescein to a peracid vapor, said indicator composition undergoing a distinct color change.

21. A method of monitoring a sterilization process including the use of a peracid, comprising

5 exposing a monitor composition including a colorant susceptible to halogenation and a halogen source to a peracid during a sterilization process, wherein the peracid contacts the halogen source to produce halogen which halogenates the colorant to cause a color change in the composition.

10 22. The method of claim 21, wherein said halogenated colorant is susceptible to additional reactions which cause the monitor composition to undergo a distinct color change dependent upon the concentration of acid present during the sterilization process.

15 23. The method of claim 22, wherein said additional reactions depend on the concentration of peracid present during the sterilization process, exposure time, and exposure temperature.

24. The method of claim 21, wherein said colorant comprises a dye.

20 25. The method of claim 21, wherein said monitor composition is carried on a substrate.

25 26. The method of claim 21, wherein the exposure occurs during a sterilization process.

27. The method of claim 26, wherein said monitor composition is exposed to the peracid for at least 1 minute.

30 28. The method of claim 21, wherein the exposure occurs independent of a sterilization process.

29. The method of claim 28, wherein the monitor composition is exposed to the peracid for at least 1 second.



30. The method of claim 28, wherein the sterilization process includes the use of a sterilization solution including a peracid, and wherein the concentration of the peracid in the sterilization solution is at least 1000 ppm and the monitor composition is contacted with the sterilization solution.

31. The method of claim 21, wherein the sterilization process includes the use of a sterilization solution including a peracid, wherein the concentration of peracid in the sterilization solution is at least 1000 ppm.

32. The method of claim 21, wherein said colorant comprises phenol red or a salt of phenol red.

33. The method of claim 21, wherein said colorant is selected from the group consisting of cresol red, fluorescein, chlorophenol red, m-cresol purple, pyrogallol red, crystal violet lactone, 3,4,5,6-tetrabromophenol, 3',3'',5',5''-tetraiodophenol phthalein, 4,5,6,7-tetrachlorofluorescein, basic fuchsin, phenolphthalein, xylene cyanole FF, resorufin, indigo carmine, thionin, variamine blue, indophenol, neutral red, pararosaniline acetate, erioglaucine, malachite green oxalate, indigo, bromocresol green.

34. The method of claim 21, wherein said halogen source comprises an alkali halide salt.

35. The method of claim 34, wherein said salt comprises potassium bromide.

36. The method of claim 21, wherein said monitor composition further comprises a buffer.

37. The method of claim 36, wherein said buffer comprises sodium acetate.

38. The method of claim 21, wherein said colorant comprises phenol red and said halogen source comprises potassium bromide.

39. The method of claim 21, wherein said monitor composition is enclosed within a housing including a vapor permeable barrier and wherein peracid vapor passes through the barrier to contact the monitor composition and cause the halogenation.

5

40. The method of claim 39, wherein said colorant comprises phenol red and said halogen source comprises potassium bromide.

41. A method of monitoring a peracid liquid phase sterilization process, comprising

10

exposing a monitoring composition including a colorant to a peracid from a sterilization solution during a peracid liquid phase sterilization process, wherein the composition will change to a particular color if the sterilization process meets pre-determined sterilization parameters.

15

42. The method of claim 41, wherein the pre-determined sterilization parameters include exposure time.

43. The method of claim 42, wherein the exposure time is at least 1 minute.

20

44. The method of claim 41, wherein the pre-determined sterilization parameters include exposure temperature.

45. The method of claim 44, wherein the exposure temperature is at least 25°C.

25

46. The method of claim 41, wherein the pre-determined sterilization parameters include concentration of a peracid in the sterilization solution.

30

47. The method of claim 46, wherein the exposure concentration of a peracid from a sterilization solution is at least 1000 ppm.

48. The method of claim 41, wherein the pre-determined sterilization parameters include exposure time and exposure concentration of a peracid from a sterilization solution.

5 49. The method of claim 41, wherein the pre-determined sterilization parameters include exposure temperature and exposure concentration of a peracid from a sterilization solution.

10 50. The method of claim 41, wherein the pre-determined sterilization parameters include exposure time, exposure temperature and exposure concentration of a peracid from a sterilization solution.

15 51. The method of claim 50, wherein the exposure time is at least 1 minute, the exposure temperature is at least 25°C, and the exposure concentration of a peracid from a sterilization solution is at least 1000 ppm.

52. The method of claim 41, wherein said colorant is susceptible to halogenation by halogen.

20 53. The method of claim 52, wherein the peracid contacts the halogen source to produce halogen which halogenates the colorant to cause a color change in the monitor composition.

25 54. The method of claim 53, wherein said halogenated colorant is susceptible to additional reactions which cause the monitoring composition to undergo a distinct color change that provides an indication if the sterilization process meets said pre-determined sterilization parameters.

30 55. The method of claim 53, wherein said halogen comprises bromine or iodine.

56. The method of claim 41, wherein the concentration of a peracid from a sterilization solution is at least 1000 ppm.

57. The method of claim 41, wherein said colorant comprises phenol red.

5 58. The method of claim 41, wherein said monitor composition is enclosed within a housing including a vapor permeable barrier and wherein peracid vapor passes through the barrier to contact the monitor composition and cause the halogenation.

59. A method of determining whether a liquid solution including peracetic acid has a concentration at about 2500 ppm, comprising  
10 exposing a monitor composition, including a colorant, to a liquid sterilization solution including peracetic acid under conditions that will cause the composition to undergo a color change at about 2500 ppm of peracetic acid.

15 60. The method of claim 59, wherein the monitor composition colorant is susceptible to halogenation by a halogen source.

20 61. The method of claim 59, wherein the halogen source halogenates the colorant to cause a color change in the monitor composition that provides an indication of the concentration of the peracetic acid in the solution.

25 62. A method of monitoring a sterilization process that uses a liquid peracid sterilant, comprising  
contacting a sterilization monitoring device with a liquid peracid from a sterilization solution during the sterilization process, and sterilization monitoring device including a vapor barrier wherein peracid vapor from the liquid sterilant penetrates the vapor barrier and contacts a monitor composition in the sterilization monitoring device,  
30 wherein the monitor composition includes a colorant susceptible to halogenation and a halogen source, and the peracid contacts the halogen source to produce halogen which halogenates the colorant to cause a color change in the composition.

63. The method of claim 62, wherein said halogenated colorant is susceptible to additional reactions which cause the monitoring composition to undergo a

distinct color change that provides an indication if the sterilization process meets predetermined sterilization parameters.

64. The method of claim 62, wherein said peracid is peracetic acid.

5

65. The method of claim 62, wherein said colorant is phenol red and said halogen source is potassium bromide.

66. The method of claim 62, wherein said monitor composition further  
10 comprises a buffer.

67. A sterilization monitoring device comprising a housing including a vapor permeable barrier and a monitor composition enclosed within the housing, the monitor composition including a halogen source and a colorant susceptible to  
15 halogenation in the presence of a peracid, wherein the peracid contacts the halogen source to produce halogen which halogenates the colorant to cause a color change in the composition.

68. The method of claim 67, wherein said colorant comprises phenol red  
20 or its alkali salt.

69. The method of claim 67, wherein said colorant is selected from the group consisting of cresol red, fluorescein, chlorophenol red, m-cresol purple, pyrogallol red, crystal violet lactone, 3,4,5,6-tetrabromophenol, 3',3'',5',5''-tetraiodophenol  
25 phthalein, 4,5,6,7-tetrachlorofluorescein, basic fuchsin, phenolphthalein, xylene cyanole FF, resorufin, indigo carmine, thionin, variamine blue, indophenol, neutral red, pararosaniline acetate, erioglucine, malachite green oxalate, indigo, bromocresol green.

70. The method of claim 67, wherein said halogen source comprises an  
30 alkali halide salt.

71. The method of claim 70, wherein said salt comprises potassium bromide.

72. The method of claim 67, wherein said monitor composition further comprises a buffer.

5 73. The method of claim 72, wherein said buffer comprises sodium acetate.

74. The method of claim 67, wherein said colorant comprises phenol red and said halogen source comprises potassium bromide.

10 75. A sterilization monitoring device comprising  
a substrate, having a laminated side, carrying a monitoring composition, and  
a housing including a vapor permeable barrier and a vapor impermeable  
barrier defining a vapor head space, wherein the substrate is enclosed within the housing  
with the laminated side mounted to the vapor permeable barrier.

15 76. The sterilization monitoring device of claim 75, wherein said monitor  
composition includes a halogen source and a colorant susceptible to halogenation in the  
presence of a peracid from a sterilization solution, the peracid contacts the halogen  
source to produce halogen which halogenates the colorant to cause a color change in the  
20 composition.

77. The sterilization monitoring device of claim 76, wherein said halogen  
source is potassium bromide and said colorant is phenol red.

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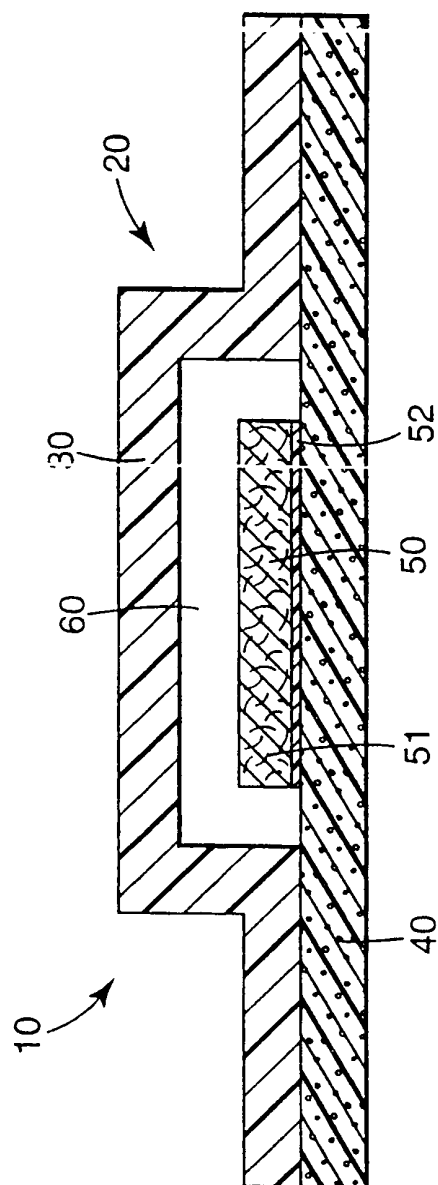


Fig. 1

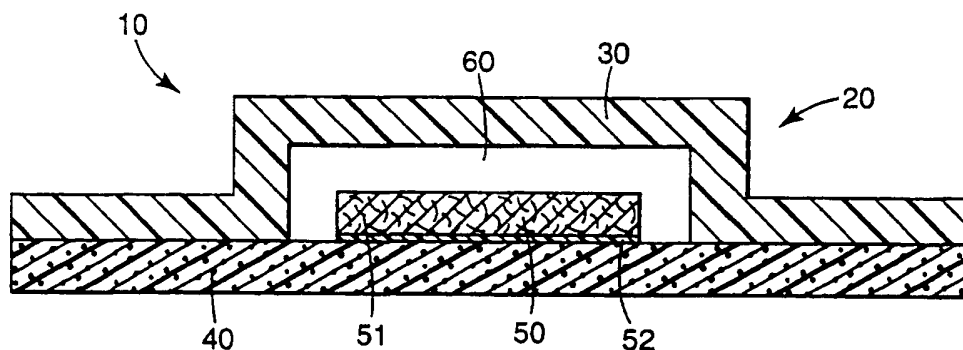




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>A61L 2/26, G01N 31/22</b>		<b>A3</b>	(11) International Publication Number: <b>WO 98/58683</b>
			(43) International Publication Date: 30 December 1998 (30.12.98)
(21) International Application Number: PCT/US98/13464		(81) Designated States: AU, CA, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(22) International Filing Date: 24 June 1998 (24.06.98)			
(30) Priority Data: 08/882,630 25 June 1997 (25.06.97) US 09/019,341 5 February 1998 (05.02.98) US		<b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments</i>	
(71) Applicant: MINNESOTA MINING AND MANUFACTURING COMPANY [US/US]; 3M Center, P.O. Box 33427, Saint Paul, MN 55133-3427 (US).		(88) Date of publication of the international search report: 25 March 1999 (25.03.99)	
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(54) Title: STERILIZATION MONITORS



## (57) Abstract

A sterilization monitor includes a substrate and a monitor composition. The monitor composition contains a colorant and a halogen source and undergoes a distinct color change when exposed to a peracid. The sterilization monitor can be used to monitor a sterilization process involving a peracid. A sterilization monitoring device, including a sterilization monitor enclosed in a housing having a vapor permeable barrier, can also be used to monitor a sterilization process.

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## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 98/13464

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 A61L2/26 G01N31/22

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61L G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category Citation of document, with indication, where appropriate, of the relevant passages

Relevant to claim No.

X	WO 95 24933 A (ABTOX INC) 21 September 1995 see page 6, line 6 - page 7, line 15 see page 10, line 18 - page 11, line 33 ---	41-51, 56, 57, 75
A	US 5 139 957 A (GRACK SCOTT J) 18 August 1992 see column 2, line 30 - line 64 -----	1-77

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28 January 1999

Date of making of the international search report

08/02/1999

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No.

PCT/US 98/13464

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
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